

ORIGINAL



0000143554

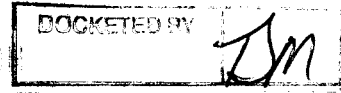
BEFORE THE ARIZONA CORPORATION COMMISSION
RECEIVED DOCKETED

COMMISSIONERS

BOB STUMP- Chairman
GARY PIERCE
BRENDA BURNS
BOB BURNS
SUSAN BITTER SMITH

2013 MAR 18 A 9:35 MAR 18 2013

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL



In the matter of:

DOCKET NO. S-20876A-13-0014

JAMES F. LIEBES, CRD #2332174, a single
man and

LANESBOROUGH FINANCIAL GROUP,
LLC, an Arizona limited liability company,

Respondents.

PROCEDURAL ORDER
(Schedules Status Conference)

BY THE COMMISSION:

On January 28, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against James F. Liebes and Lanesbrough Financial Group, LLC, an Arizona limited liability company ("LFG") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") as an unregistered dealer or salesman in connection with the offer and sale of securities.

The Respondents were duly served with copies of the Notice.

On February 11, 2013, Respondents James F. Liebes and LFG filed a request for hearing in this matter.

On February 28, 2013, by Procedural Order, a pre-hearing conference was scheduled on March 14, 2013.

On March 14, 2013, the parties appeared through counsel at the pre-hearing conference, and requested that a status conference be scheduled in approximately 30 days while the issues raised by the Notice are discussed.

Accordingly, a status conference should be scheduled.

IT IS THEREFORE ORDERED a **status conference** shall be held on **April 23, 2013, at**

1 **11:00 a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room No. 2,
2 Phoenix, Arizona.

3 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
4 Communications) is in effect and shall remain in effect until the Commission's Decision in this
5 matter is final and non-appealable.

6 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
7 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
8 *pro hac vice*.

9 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
10 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
11 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
12 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
13 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
14 Administrative Law Judge or the Commission.

15 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
16 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
17 ruling at hearing.

18 DATED this 18TH day of March, 2013.



MARC E. STERN
ADMINISTRATIVE LAW JUDGE

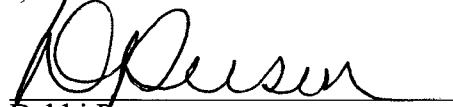
22 Copies of the foregoing mailed/delivered
23 this 18th day of March, 2013 to:

24 Paul J. Roshka, Jr.
25 Jennifer A. Stevens
26 ROSHKA DEWULF & PATTEN PLC
27 400 E. Van Buren, Suite 800
28 Phoenix, AZ 85004
Attorneys for James F. Liebes and LFG

1 Matt Neubert, Director
2 Securities Division
3 ARIZONA CORPORATION COMMISSION
4 1300 West Washington Street
5 Phoenix, AZ 85007

6 ARIZONA REPORTING SERVICE, INC.
7 2200 N. Central Ave., Suite 502
8 Phoenix, AZ 85004-1481

9 By:

10 
11 Debbi Person
12 Assistant to Marc E. Stern
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28